

| Form PTO-1449<br>(REV. 8-83)  |    | US Dept. of Commerce<br>PATENT & TRADEMARK OFFICE |            | ATTY DOCKET NO.                        |  | APPLICATION<br>NO. 08/972,313 |                               |
|---|----|---|------------|--|--|-------------------------------|-------------------------------|
| INFORMATION DISCLOSURE STATEMENT<br>(Use several sheets if necessary)   |    |   |            | APPLICANT<br>Thomas Boone Pickens, III |  |                               |                               |
| JUL 15 1998   |    |   |            | FILING DATE<br>11/13/97                |  | GROUP<br>Not yet assigned.    |                               |
| U.S. PATENT DOCUMENTS   |    |   |            |  |  |                               |                               |
| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER                                   | DATE       | NAME                                   | CLASS  | SUB<br>CLA<br>SS              | FILING DATE<br>IF APPROPRIATE |
| <i>MD</i>   | 1  | 5,612,827   | 03/18/1997 | Ovadia                                 | 235  | 383                           | 03/31/1995                    |
| <i>MD</i>   | 2  | 5,604,640   | 02/18/1997 | Zipf, et al.                           | 359  | 803                           | 01/31/94<br>priority date     |
| <i>MD</i>   | 3  | 5,545,887 <i>89B</i>                              | 08/13/1996 | Smith, et al.                          | 235  | 462                           | 01/29/1993<br>priority date   |
| <i>MD</i>   | 4  | 5,493,105   | 02/20/1996 | Desai                                  | 235  | 375                           | 04/19/1994                    |
| <i>MD</i>   | 5  | 5,483,052   | 01/09/1996 | Smith, III, et al.                     | 235  | 472                           | 12/07/1993                    |
| <i>MD</i>   | 6  | 5,478,999   | 12/26/1995 | Luis, et al.                           | 235  | 462                           | 08/10/1992<br>priority date   |
| <i>MD</i>   | 7  | 5,465,291   | 11/07/1995 | Barrus, et al.                         | 379  | 67                            | 04/16/1991<br>priority date   |
| <i>MD</i>   | 8  | 5,438,188   | 08/01/1995 | Stefan                                 | 235  | 462                           | 04/01/1994                    |
| <i>MD</i>   | 9  | 5,428,211   | 06/27/1995 | Wenhua, et al.                         | 235  | 462                           | 05/02/1994                    |
| <i>MD</i>   | 10 | 5,412,196   | 05/02/1995 | Stefan                                 | 235  | 462                           | 04/01/1994                    |
| <i>MD</i>   | 11 | 5,352,978   | 10/04/1994 | Mihael, et al.                         | 235  | 462                           | 01/29/1993                    |
| FOREIGN PATENT DOCUMENTS  |    |   |            |  |  |                               |                               |
|   |    | DOCUMENT NUMBER                                   | DATE       | COUNTRY                                | CLASS  | SUB<br>CLA<br>SS              | TRANSLATION                   |
|   |    |   |            |  |  |                               | YES NO                        |
|   |    |   |            |  |  |                               |                               |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)  |    |   |            |  |  |                               |                               |
|   |    |   |            |  |  |                               |                               |
|   |    |   |            |  |  |                               |                               |
|   |    |   |            |  |  |                               |                               |
| EXAMINER<br><br><div style="font-size: 1.5em; font-family: cursive;">Mark Tremblay</div>  |    |   |            |  | DATE CONSIDERED<br><br><div style="font-size: 1.5em; font-family: cursive;">11/23/98</div> |                               |                               |
| Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |   |            |  |  |                               |                               |

| Form PTO-1449<br>(REV. 8-83)  |        | US Dept. of Commerce<br>PATENT & TRADEMARK OFFICE |            | ATTY DOCKET NO.                        |                                    | APPLICATION<br>NO. 08/972,313 |                                    |    |
|---|--------|---|------------|--|------------------------------------|-------------------------------|------------------------------------|----|
| INFORMATION DISCLOSURE STATEMENT<br>(Use several sheets if necessary)   |        |   |            | APPLICANT<br>Thomas Boone Pickens, III |                                    |                               |                                    |    |
|   |        |   |            | FILING DATE<br>11/18/97                |                                    | GROUP<br>Not yet assigned.    |                                    |    |
| U.S. PATENT DOCUMENTS   |        |   |            |  |                                    |                               |                                    |    |
| EXAMINER<br>INITIAL   | SERIAL | DOCUMENT NUMBER                                   | DATE       | NAME                                   | CLASS                              | SUB<br>CLAS<br>S              | FILING DATE<br>IF APPROPRI-<br>ATE |    |
| <i>MM</i>   | 12     | 5,343,028   | 08/30/1994 | Luis, et al.                           | 235                                | 462                           | 08/10/1992                         |    |
| <i>MM</i>   | 13     | 5,223,701   | 06/29/1993 | Batterman, et al.                      | 235                                | 494                           | 10/30/1990<br>priority date        |    |
| <i>MM</i>   | 14     | 5,153,418   | 10/06/1992 | Batterman, et al.                      | 235                                | 494                           | 10/30/1990                         |    |
| <i>MM</i>   | 15     | 5,189,292   | 02/23/1993 | Batterman, et al.                      | 235                                | 494                           | 10/30/1990                         |    |
| <i>MM</i>   | 16     | 5,047,614   | 09/10/1991 | Bianco                                 | 235                                | 385                           | 01/23/1989<br>priority date        |    |
| <i>MM</i>   | 17     | 4,998,010   | 03/05/1991 | Chandler, et al.                       | 235                                | 494                           | 04/19/1988<br>priority date        |    |
| <i>MM</i>   | 18     | 4,958,064   | 09/18/1990 | Kirkpatrick                            | 235                                | 384                           | 01/30/1989                         |    |
| <i>MM</i>   | 19     | 4,896,029   | 01/23/1990 | Chandler, et al.                       | 235                                | 494                           | 04/08/1988<br>priority date        |    |
| <i>MM</i>   | 20     | 4,752,675   | 06/21/1988 | Zetmeir                                | 235                                | 375                           | 12/23/1985                         |    |
| <i>MM</i>   | 21     | 4,654,718   | 03/31/1987 | Sueyoshi                               | 358                                | 257                           | 12/29/1982<br>priority date        |    |
| <i>MM</i>   | 22     | 4,488,679   | 12/18/1984 | Bockholt, et al.                       | 235                                | 469                           | 11/01/1982                         |    |
| <i>MM</i>   | 23     | 3,513,320   | 05/19/1970 | Weldon                                 | 250                                | 219                           | 10/31/1966                         |    |
| FOREIGN PATENT DOCUMENTS  |        |   |            |  |                                    |                               |                                    |    |
|   |        | DOCUMENT NUMBER                                   | DATE       | COUNTRY                                | CLASS                              | SUB<br>CLAS<br>S              | TRANSLATION                        |    |
|   |        |   |            |  |                                    |                               | YES                                | NO |
|   |        |   |            |  |                                    |                               |                                    |    |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)  |        |   |            |  |                                    |                               |                                    |    |
|   |        |   |            |  |                                    |                               |                                    |    |
| EXAMINER<br><i>Mark Tremblay</i>  |        |   |            |  | DATE CONSIDERED<br><i>11/23/98</i> |                               |                                    |    |
| Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |        |   |            |  |                                    |                               |                                    |    |